

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

In re Application of: Donald J. McMichael

Attorney Docket No.: BAL-8 (BA00169)

Serial No.: 09/660,665

Date: May 31, 2001

Filed: September 13, 2000

Art Unit: 3762

Confirmation No.: 6990

Our Account No.: 04-1406

Title: Enteral Feeding Adapter

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

**RECEIVED**  
**JUN 07 2001**  
**TECHNOLOGY CENTER R3700**

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
9 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: Such explanation is provided in the Search Report from a corresponding application enclosed herewith, along with its translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
  - i. ☐ Certification per Rule 97(e); OR
  - ii. ☐ Filing Fee per Rule 17(p) ..... \$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
  - i. ☐ Certification per Rule 97(e); AND
  - ii. ☐ Filing fee per Rule 17(p) ..... \$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
Address: \_\_\_\_\_

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

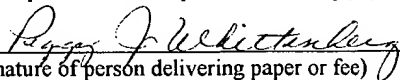
4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a.[x] First Class Mail Certificate of Mailing under Rule 8:

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on May 31, 2001.

Peggy J. Whittenberg  
(Typed/printed name of person delivering paper or fee)

  
(Signature of person delivering paper or fee)

b.[ ] "Express Mail" Certificate under Rule 10:

"Express Mail" - Label No. \_\_\_\_\_

Date of Deposit \_\_\_\_\_

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patent, U.S. Patent and Trademark Office, Washington, D.C. 20231.

\_\_\_\_\_  
(Typed/printed name of person mailing paper or fee)

\_\_\_\_\_  
(Signature of person mailing paper or fee)

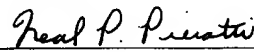
ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Telephone: 864-271-1592  
Facsimile: 864-233-7342

DORITY & MANNING, P.A.

By: Neal P. Pierotti

Reg. No.: 45,716

Signature: 

Date: May 31<sup>st</sup>, 2001



SAU-3762

ATTORNEY DOCKET NO.: BAL-8 (BA00169)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

|                              |   |                      |
|------------------------------|---|----------------------|
| In re Application of:        | ) | Group Art Unit: 3762 |
| Donald J. McMichael          | ) |                      |
|                              | ) |                      |
| Serial No.: 09/660,665       | ) | Examiner: Unknown    |
|                              | ) |                      |
| Confirmation No.: 6990       | ) |                      |
|                              | ) |                      |
| Filed: September 13, 2000    | ) |                      |
|                              | ) |                      |
| For: Enteral Feeding Adapter | ) |                      |

RECEIVED  
JUN 0 7 2001  
TECHNOLOGY CENTER 1330

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The present Information Disclosure Statement is submitted  
for the Examiner's consideration in accordance with the  
Applicant's duty of disclosure.

Applicant wishes to bring to the Examiner's attention nine  
U.S. patents.

The Examiner is encouraged to contact the undersigned at  
his convenience should he have any questions regarding this  
matter or require any additional information.

Respectfully submitted,

DORITY & MANNING, P.A.

May 31<sup>st</sup>, 2001  
Date

Neal P. Pierotti  
Neal P. Pierotti  
Reg. No. 45,716  
P.O. Box 1449  
Greenville, SC 29602-1449  
(864) 271-1592  
FAX (864) 233-7342